

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/982,549	10/18/2001	Paul Hallen	55299

CONFIRMATION NO. 7737

FORMALITIES LETTER



OC000000007100225

Edwards & Angell, LLP
P.O. Box 9169
Boston, MA 02209

COPY OF PAPER
ORIGINALLY FILED

Date Mailed: 11/20/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1360.
 - \$1080 for 60 total claims over 20.
 - \$280 for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2230.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

13/21/2002 CV0111 00000098 09982549
 370.00
 540.00
 140.00
 65.00
 11 FC:201
 12 FC:203
 13 FC:204
 14 FC:205



E.S.

Docket No. 55299 (71941)

MPB #3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hallen, et al.

U.S.S.N.: 09/982,549

Filed: October 18, 2001

For: Soft Tip Cannula And Methods For Use Thereof

COPY OF PAPERS
ORIGINALLY FILED

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: BOX MISSING PARTS, Assistant Commissioner for Patents, Washington, D.C. 20231.

March 8, 2002

Date

By: 

JUDITH A. HERRICK

BOX MISSING PARTS

Assistant Commissioner for Patents

Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS FILING DATE GRANTED
AND REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT**

Sir:

The following is in response to the Notice to File Missing Parts - Filing Date
Granted mailed November 20, 2001, in the above referenced application.

Enclosed herewith for filing in the subject application are the following:

1. A copy of the Notice to File Missing Parts -Filing Date Granted for the subject application.
2. A properly signed oath or declaration in compliance with 37 CFR 1.63 with power of attorney, identifying the application by the above Application Title and Filing Date, and including residence information.
3. A statement by a registered attorney that the application filed in the PTO is the application that the inventors executed by signing the oath or declaration and power of attorney.
4. Assignment and Recordation Cover Sheet.

Completion of application filing fees as calculated below:

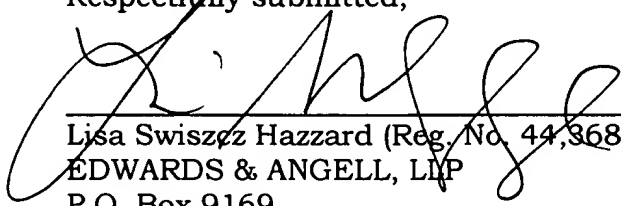
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|----|--|------------------|
| 1. | Surcharge for late payment of filing fee and/or late filing of original declaration or oath..... | \$ 65.00 |
| 2. | The statutory basic filing fee..... | \$ 370.00 |
| 3. | 60 total claims over 20..... | \$ 540.00 |
| 4. | Multiple dependent claim | <u>\$ 140.00</u> |
| | TOTAL..... | \$1,115.00 |

Enclosed herewith is a check for \$1,115.00 in payment of the total fee due, along with a check for \$40.00 for recording the enclosed Assignment. Applicants note that Assignee, Retinalabs.com, Inc. is a small entity (Small Business Concern).

Applicants also respectfully request that the official filing receipt be corrected to reflect the inventorship of the subject application as provided on the enclosed declaration and to include the addresses for each of the identified inventors.

Applicants conditionally petition for an extension of time to provide for the possibility that such a petition has been inadvertently overlooked and is required. Accordingly, if for any reason a fee is required, a fee paid is inadequate or credit is owed for any excess fee paid, you are hereby authorized and requested to charge Deposit Account No. **04-1105**.

Respectfully submitted,



Lisa Swiszez Hazzard (Reg. No. 44,368)
EDWARDS & ANGELL, LLP
P.O. Box 9169
Boston, MA 02209
617-439-4444

#191293



Docket No. 55299 (71941)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hallen, et al.

U.S.S.N.: 09/982,549

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By 

JUDITH A. HERRICK

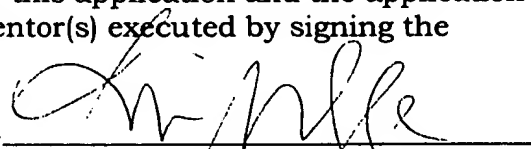
BOX MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

**STATEMENT BY PRACTITIONER THAT APPLICATION FILED IN PTO
IS THE ONE INVENTOR EXECUTED BY SIGNING DECLARATION**

I, Lisa Swiszc Hazzard (Reg. No. 44,368)
DIKE, BRONSTEIN, ROBERTS & CUSHMAN, LLP
Intellectual Property Practice Group of
EDWARDS & ANGELL, LLP
P.O. Box 9169
Boston, MA 02209

state I am the registered practitioner for this application and the application identified above is the application that the inventor(s) executed by signing the declaration that is being submitted herewith.

Date: 3/8/02


Lisa Swiszc Hazzard (Reg. 44,368)
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